PATENT

Examiner: Alain I. Bashore

Group Art Unit: 3624



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(MBHB Case No. 03-748)

In application of:

Fred Monroe and Harris C. Brumfield

Serial No.: 10/628,631

Filed: July 28, 2003

System and Method for Improved For:

Electronic Trading

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

In regard to the above identified application:

- 1. We are transmitting herewith the attached papers for the above identified patent application:
 - a. Transmittal Letter in duplicate;
 - b. Information Disclosure Statement (IDS) PTO-1449 Form;
 - c. Copies of IDS Citations (2 U.S. Published Patent Applications and 1 International Search Report); and
 - d. Return Receipt Postcard.
- 2. With respect to fees:
 - a. No fee is due at this time.
 - b. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 10, 2005.

Respectfully,

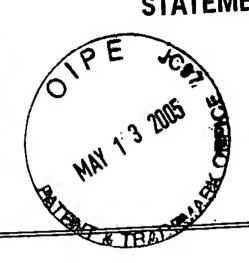
Date: May 10, 2005

By:

Brian R. Harris Reg. No. 45,900 Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT



Atty. Docket No.	Serial No.
03-748	10/628,631

Applicant: Monroe, et al.

Filing Date: July 28, 2003

Group: 3624

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS

Examiner		Document Number	Date	Name	Class	Subclass	Filing Date
Initial	No.		07/11/02	Keith	705	37	03/08/01
	1.	US 2002/0091617 A1			705	37	06/11/01
	2.	US 2002/0188549 A1	12/12/02	Nordlicht	1		

U.S. PATENT DOCUMENTS

Examiner	No.	Document	Date	Name	Class	Subclass	Filing Date
Initial	140.	Number					

FOREIGN PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Country	Class	Subclass	<u>Translation</u> Yes N o

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

Examiner Initial	No.	
	3.	International Search Report for PCT/US04/25543 mailed April 27, 2005

Examiner	Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.